75	
u.s. 6646	
2386	

Please type a plus sign (+) inside this box

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY		
PATENT APPLICATION		
TRANSMITTAL		

Attorney Docket No. 13936 B

First Inventor Zhengkai YIN & Zumi LU

Electroluminescence (EL) Tube and

Title Wire and Manufacturing Method

Figure Mail about No. EV. 305202821 US

Date

Express Mail Label No. EV 305202881 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred arrangement set forth below) Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table. paper or a computer program listing appendix - Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) Power of 37 CFR 3.73(b) Statement - Abstract of the Disclosure 10. (when there is an assignee) Attomey 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets English Translation Document (if applicable) Copies of IDS Information Disclosure 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) 13. Preliminary Amendment Return Receipt Postcard (MPEP 503) b. (for continuation/divisional with Box 18 completed) 14 (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** 15. X Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation-in-part (CIP) of prior application No.:_ Prior application information: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 5 36672 3 8 F 3 8 F X Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar pods label here Name CHARLES E. BAXLEY, ESQUIRE 90 John Street, Third Floor <u>Address</u> City New York State Zip Code New York 10038 Country Telephone Fax U.S.A. 212-791-7200 212-791-7276 Name (Print/Type) CHARLES E. BAXLEY Registration No. (Attorney/Agent) Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (01-03) Approved for use through 04/30/2003. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.					
	Complete if Known				
FEE TRANS	MILLAL	Application Number	Not yet assigned		
C FV 0000	Filing Date	Simultaneously herewith			
for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision. X Applicant claims small entity status. See 37 CFR 1.27		First Named Inventor	Zhengkai YIN & Zumi LU :		
		Examiner Name	Not yet assigned		
		Art Unit	Not yet assigned		
TOTAL AMOUNT OF PAYMENT	(\$) 375 00	Attorney Docket No.	13936 В		

METHOD OF PAYMENT (check all that apply) SEC CALCULATION (continued)	X Check	Attomey Docket No. 113930 B			
Content Cont	Deposit Account: Deposit Account: O2-1435 1051 130 20 1052 50 20 1051 130 20 1052 50 20 1052 50 20 1052 50 20 1052 50 20 1052 50 20 1052 1052 50 20 1052 1052 1053 105	FEE CALCULATION (continued)			
Deposit O2-1435 O2-1	Deposit Account				
Deposit Deposit Deposit Deposit Deposit Deposit Account CHARLES E. BAXLEY 1052 50 2052 25 Surcharge - late provisional fling fee or cover sheet Deposit Deposit Account CHARLES E. BAXLEY 1053 313	Account Number Account Name CHARLES E. BAXLEY 1053 130 1052 50 20 1052 50 20 1052 50 20 1052 50 20 1052 50 20 1052 50 20 1053 130 10 1052 130 130 10 1052 130 130 10 1052 130 130 10 1052 130 130 10 1052 130 130 10 1052 130 130 10 1052 130 1052 130 130 10 1052 130 130 130 10 1052 130 130 130 10 1052 130 130 130 10 1052 130 130 10 1052 130 130 130 10 1052 130 130 130 10 1052 130	Fee Fee Pescription Fee Poid			
CHARLES E. BAXLEY 1052 50 2052 25 Surbarage - late provisional filling fee of cover sheet 1052 50 2052 25 Surbarage - late provisional filling fee of cover sheet 1053 130 1053 130 Non-English specification 1812 2,520	Deposit Account Name				
Name Chartes C. DALLES C. DALLES C. DALLES C. Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below	The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below X Credit any overpayments 1812 2,520	2052 25 Surcharge - late provisional filing fee or cover sheet			
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below Charge fee(s) indicated below. except for the filing fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Fee	The Commissioner is authorized to: (check all that apply)				
Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	Charge any additional fee(s) during the pendency of this application	1812 2,520 For filing a request for ex parte reexamination			
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804 920° Requesting publication of SIR prior to Examiner action			
1251 110 1252 110 1252 1255 12	Tell	1805 1,840° Requesting publication of SIR after Examiner action			
Tebusy T	1. BASIC FILING FEE 1252 410 2253 930 2254 1,450 2255 1,970 1,970	2251 55 Extension for reply within first month			
1. BASIC FILING FEE Large Entity Small Entity Fee	1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Description	mile a second manufic			
Large Entity Small Entity Fee Fee Fee Fee Fee Paid Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pescription Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	Large Entity Small Entity Fee	2253 465 Extension for reply within third month			
Code (\$)	1001 750 2001 375 Utility filing fee 375 1401 320 2	2254 725 Extension for reply within fourth month			
1002 330 2002 165 Design filling fee 3 / 5 1401 320 2401 160 Notice of Appeal 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1451 1,510 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1501 1,300 1	1002 330 2002 165 Design filing fee 3 3 / 5	2255 985 Extension for reply within fifth month			
1003 520 2003 260 Plant filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1401 1,510 Petition to institute a public use proceeding 1451 1,510 Petition to revive - unavoidable 1451 1,510 Petition to revive - unavoidable 1451 1,510 Petition to revive - unavoidable 1451 1,510 Petition to revive - unintentional 1501 1,300 1501 1,3	1003 520 2003 260 Plant filing fee 1402 320 2 1004 750 2004 375 Reissue filing fee 1403 280 2 1403 280 2 1403 280 2 1405 150 1452 110 2 1452 110 2 1453 1,300 2 1453 1	2401 160 Notice of Appeal			
1004 750 2004 375 Reissue filing fee	1004 750 2004 375 Reissue filing fee 1403 280 2 1005 160 2005 80 Provisional filing fee 1451 1,510 14 2 2 2 2 2 2 2 2 2	2402 160 Filing a brief in support of an appeal			
SUBTOTAL (1) (\$) 375.00 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims Delow Extra Claims Claims Independent Claims Multiple Dependent Large Entity Fee Fee Code (\$) Code (\$)	1451 1,510 1451 1,510 1452 110 2 2 2 2 2 2 2 2 2	2403 140 Request for oral hearing			
SUBTOTAL (1) (\$) 375.00 1452 110 2452 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid Total Claims 10 -20** = 0 × 0 = 0 1503 630 2503 315 Plant Issue fee Independent 1 - 3** = 0 × 0 = 0 1503 630 1480 130 Petitions to the Commissioner Multiple Dependent Large Entity Small Entity Small Entity Fee Fee Fee Fee Fee Fee Fee	SUBTOTAL (1) (\$) 375.00 1452 110 2 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Claims below Fee Paid 1501 1,300 25 1502 470 2 1503 630 2 1606 180 1807 50 Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 1203 280 2203 140 Multiple dependent claims in excess of 3 1204 84 2204 42 Reissue independent claims over original patent 1801 750 25 1205 18 2205 9 Reissue claims in excess of 20 1802 900 150	1451 1,510 Petition to institute a public use proceeding			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from Extra Claims below Fee Paid 1503 630 2503 315 Plant issue fee 1503 630 2503 315 Plant issue fee 1503 630 2503 315 Plant issue fee 1504 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 Submission of Information Disclosure Stmt Pee Fee Fee Fee Fee Fee Fee Fee Fee Fee	2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE See from Fee From	2452 55 Petition to revive - unavoidable			
Extra Claims Total Claims I 0 -20** = 0	Extra Claims	2453 650 Petition to revive - unintentional			
Total Claims 10 -20** = 0 × 0 = 0 1503 630 2503 315 Plant issue fee Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe	Extra Claims Fee Paid 1502 470 22 220** =	2501 650 Utility issue fee (or reissue)			
Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Description 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims in excess of 3 1204 84 2204 42 Reissue independent claims over original patent 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 1806 180 1806 180 Submission of Information Disclosure Stmt 1807 50 2809 375 Filing a submission after final rejection (37 GFR 1.129(a)) 1808 1809 750 2809 375 Filing a submission after final rejection (37 GFR 1.129(a)) 1809 1800 1800 2800 375 For each additional Invention to be examined (37 GFR 1.129(b)) 1809 1800 1800 1800 1800 1800 1800 1800	Independent	2502 235 Design issue fee			
Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Description 1806 180 1806 180 1	Claims Multiple Dependent	2503 315 Plant issue fee			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 1806 180 1806 180 1808	Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) 1806 1806 1806	1460 130 Petitions to the Commissioner			
Fee Fee Code (\$) 1202 18 1202 9 Claims in excess of 20 1201 84 1203 280 1203 140 Multiple dependent claims in operation over original patent 1204 84 1204 84 1205 9 Reissue independent claims over original patent 1206 18 1207 9 Reissue claims in excess of 20 1809 750 1809 750 1809 750 2809 375 Filing a submission after final rejection (37 GFR 1.129(a)) 1810 750 2810 375 For each additional invention to be examined (37 GFR 1.129(b)) 1801 750 2801 375 Request for Continued Examination (RCE)	Fee Fee Code (\$) Fee Fee Code (\$) Fee Description 8021 40 1202 18 2202 9 Claims in excess of 20 1809 750 1201 84 2201 42 Independent claims in excess of 3 1809 750 2 1203 280 2203 140 Multiple dependent claims, if not paid 1810 750 2 1204 84 2204 42 Reissue independent claims over original patent 1801 750 2 1205 18 2205 9 Reissue claims in excess of 20 1802 900 18	1807 50 Processing fee under 37 CFR 1.17(q)			
Code (\$)	Code (\$)				
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims, if not paid 1204 84 2204 42 "Reissue independent claims over original patent" 1205 18 2205 9 "Reissue claims in excess of 20 1802 900 Request for expedited examination (RCE)	1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims, if not paid 1204 84 2204 42 Reissue independent claims over original patent 1801 750 26 1205 18 2205 9 Reissue claims in excess of 20 1802 900 18	8021 40 Recording each patent assignment per property (times number of properties)			
1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1204 84 2204 42 ** Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE)	1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2 1204 84 2204 42 Reissue independent claims over original patent 1801 750 28 1205 18 2205 9 Reissue claims in excess of 20 1802 900 18	2809 375 Filling a submission after final rejection			
1204 84 2204 42 Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE)	over original patent 1801 750 25	2810 375 For each additional invention to be			
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination	1 1205 18 1 2205 5 1100000 000005 0120				
	and a real anglines between	1802 900 Request for expedited examination of a design application			
Other fee (specify)	Other fee (specif				
SUBTOTAL (2) (\$) U *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					

(Complete (if applicable) SUBMITTED BY Registration No. Telephone Name (Print/Type) CHARLES E. BAXLEY, ESQUIRE (Attorney/Agent) BYLY Signature

> WARNING: Inf rmation on this form may becom public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.